

Weighted Markov-Type Estimates for the Derivatives of Constrained Polynomials on $[0, \infty)$

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Throughout this paper $c_1(\cdot), c_2(\cdot), \dots$ will denote positive constants depending only on the values given in the parenthesis. Let Π_n be the set of all real algebraic polynomials of degree at most n . A weaker version of an inequality of the brothers Markov (see [8, 9]) asserts that

$$\begin{aligned} & \max_{A \leq x \leq B} |p^{(m)}(x)| \\ & \leq \left(\frac{2n^2}{B-A} \right)^m \max_{A \leq x \leq B} |p(x)| \quad (p \in \Pi_n; n, m \geq 1). \end{aligned} \tag{1}$$

For $0 < r \leq (B-A)/2$ ($A, B \in \mathbb{R}$) let

$$D_1(A, B, r)^+ := \{z \in \mathbb{C} \mid |z - (A+r)| < r\}$$

and denote by $S_n^k(A, B, r)^+$ ($0 \leq k \leq n$) the set of those polynomials from Π_n which have at most k roots in $D_1(A, B, r)^+$. From (40) of [2], by a simple linear transformation we obtain

THEOREM A. *Let $0 < r \leq (B-A)/2$, $A, B \in \mathbb{R}$, $0 \leq k \leq n$, $n, m \geq 1$, and $s \in S_n^k(A, B, r)^+$. Then*

$$|s^{(m)}(A)| \leq c_1(m) \left(\frac{n(k+1)^2}{\sqrt{r(B-A)}} \right)^m \max_{A \leq x \leq B} |s(x)|.$$

Let

$$\|p\|_a := \sup_{0 \leq x < \infty} |p(x) \exp(-x^a)| \quad (p \in \Pi_n, a > 0), \tag{2}$$

$$D_2(r) := \{z \in \mathbb{C} \mid |z-r| < r\} \quad (r > 0), \tag{3}$$

$$D_3(r) := \{z \in \mathbb{C} \mid \operatorname{Re} z > r\} \tag{4}$$

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and denote by $W_n^k(r)$ and $V_n^k(r)$ ($0 \leq k \leq n$, $r \geq 0$) the set of those polynomials from Π_n which have at most k roots in $D_2(r)$ and $D_3(r)$, respectively. The main purpose of this paper is to give Markov-type estimates for the derivatives of polynomials from Π_n , $W_n^k(r)$, and $V_n^0(r)$ ($0 \leq k \leq n$, $r > 0$) on $[0, \infty)$ with respect to the norm $\|\cdot\|_a$. We shall prove the following theorems.

THEOREM 1. *Let $n \geq 2$, $m \geq 1$, and $a > 0$. Then we have*

$$\|p^{(m)}\|_a \leq c_2(a, m)(K_n(a))^m \|p\|_a \quad (p \in \Pi_n),$$

where

$$K_n(a) = \begin{cases} n^{2-1/a} & \text{if } \frac{1}{2} < a < \infty \\ \log^2 n & \text{if } a = \frac{1}{2} \\ 1 & \text{if } 0 < a < \frac{1}{2}. \end{cases}$$

THEOREM 2. *Let $n \geq 2$, $0 \leq k \leq n$, $m \geq 1$, $r \geq 0$, and $a > 0$. Then we have*

$$\|p^{(m)}\|_a \leq c_3(a, m)((k+1)^2 L_n(a, r))^m \|p\|_a \quad (p \in W_n^k(r)),$$

where

$$L_n(a, r) = \begin{cases} n^{2-1/a} & (0 \leq r \leq n^{1/a-2}) \\ \frac{n^{1-1/(2a)}}{\sqrt{r}} & (n^{1/a-2} \leq r \leq n^{1/a}) \\ n^{1-1/a} & (n^{1/a} \leq r < \infty) \end{cases}$$

if $1 \leq a < \infty$,

$$L_n(a, r) = \begin{cases} n^{2-1/a} & (0 \leq r \leq n^{1/a-2}) \\ \frac{n^{1-1/(2a)}}{\sqrt{r}} & (n^{1/a-2} \leq r \leq n^{2-1/a}) \\ 1 & (n^{2-1/a} \leq r < \infty) \end{cases}$$

if $\frac{1}{2} < a \leq 1$,

$$L_n(a, r) = \begin{cases} \log^2 n & (0 \leq r \leq \log^{-2} n) \\ \frac{\log n}{\sqrt{r}} & (\log^{-2} n \leq r \leq \log^2 n) \\ 1 & (\log^2 n \leq r < \infty) \end{cases}$$

if $a = \frac{1}{2}$, and

$$L_n(a, r) = 1 \quad \text{if } 0 < a < \frac{1}{2}.$$

THEOREM 3. *If $k = 0$, $1 \leq m \leq n$, and $0 < a \neq \frac{1}{2}$, then up to the constant depending only on a and m Theorems 1 and 2 are sharp.*

Conjecture 1. Up to the constant $c_3(a, m)$ Theorem 2 is sharp even in the case when $k = 0$, $1 \leq m \leq n$, and $a = \frac{1}{2}$.

THEOREM 4. *Let $n, m \geq 1$, $r \geq 0$, and $a > 0$. Then we have*

$$\|p^{(m)}\|_a \leq c_4(a, m)(G_n(a, r))^m \|p\|_a \quad (p \in V_n^0(r)),$$

where

$$G_n(a, r) = \begin{cases} r^{2a-1} + n^{1-1/a} + 1 & (0 \leq r \leq n^{1/a}) \\ n^{2-1/a} & (n^{1/a} < r < \infty) \end{cases}$$

when $\frac{1}{2} < a < \infty$,

$$G_n(\frac{1}{2}, r) = \begin{cases} \log^2(r+2) & (0 \leq r \leq n^2) \\ \log^2(n+1) & (n^2 < r < \infty), \end{cases}$$

and

$$G_n(a, r) = 1 \quad \text{when } 0 < a < \frac{1}{2}.$$

THEOREM 5. *For all $0 < a \neq \frac{1}{2}$ and $1 \leq m \leq n$, up to the constant $c_2(a, m)$ Theorem 4 is sharp.*

Conjecture 2. Up to the constant $c_4(a, m)$ Theorem 4 is sharp even for $a = \frac{1}{2}$ and $1 \leq m \leq n$.

(To see this it would be sufficient to prove that Theorem 1 is sharp when $a = \frac{1}{2}$.)

Proof of Theorem 1. It is sufficient to prove the theorem when $m = 1$, from this the general case follows by induction on m . We distinguish two cases.

Case 1. $1 \leq a < \infty$. Denote the integer part of a by $[a]$. A close inspection of its derivative shows that

$$F(x) := \left(1 - \frac{x^{[a]}}{n^{[a]r/a}}\right)^{2n} \exp(x^e)$$

is monotonically decreasing in $[0, n^{1/a}]$; therefore

$$\begin{aligned} \exp(-x^a) \geq q_{n,y}(x) &:= \frac{\exp(-y^a)}{(1 - y^{[a]}/n^{[a]/a})^{2n}} \\ &\times \left(1 - \frac{x^{[a]}}{n^{[a]/a}}\right)^{2n} \geq 0 \quad (0 \leq y \leq x \leq n^{1/a}). \end{aligned} \quad (5)$$

Now let $p \in \Pi_n$ be arbitrary. Then $s := pq_{n,y} \in \Pi_{(2[a]+1)n}$ ($0 \leq y \leq n^{1/a}$), so by (1) and (5) we obtain

$$\begin{aligned} |s'(y)| &\leq \frac{2(2[a]+1)^2 n^2}{(1/2)n^{1/a}} \max_{y \leq x \leq y + (1/2)n^{1/a}} |p(x) q_{n,y}(x)| \\ &\leq c_5(a)n^{2-1/a} \max_{y \leq x \leq y + (1/2)n^{1/a}} |p(x) \exp(-x^a)| \\ &\leq c_5(a)n^{2-1/a} \|p\|_a \quad (0 \leq y \leq \tfrac{1}{2}n^{1/a}). \end{aligned} \quad (6)$$

Further a simple calculation shows that

$$|q'_{n,y}(y)| \leq c_6(a)n^{1-1/a} \exp(-y^a) \quad (0 \leq y \leq \tfrac{1}{2}n^{1/a}). \quad (7)$$

Hence and from (6)

$$\begin{aligned} |p'(y) \exp(-y^a)| &= |p'(y) q_{n,y}(y)| \\ &\leq |s'(y)| + |p(y) q'_{n,y}(y)| \\ &\leq c_5(a)n^{2-1/a} \|p\|_a \\ &\quad + c_6(a)n^{1-1/a} |p(y) \exp(-y^a)| \\ &\leq c_7(a)n^{2-1/a} \|p\|_a \quad (p \in \Pi_n, 0 \leq y \leq \tfrac{1}{2}n^{1/a}). \end{aligned} \quad (8)$$

Finally by (1) we get

$$\begin{aligned} |p'(y) \exp(-y^a)| &\leq \exp(-y^a) \frac{2n^2}{y} \max_{0 \leq x \leq y} |p(x)| \\ &\leq 4n^{2-1/a} \max_{0 \leq x \leq y} |p(x) \exp(-x^a)| \\ &\leq 4n^{2-1/a} \|p\|_a \quad (p \in \Pi_n, \tfrac{1}{2}n^{1/a} \leq y < \infty). \end{aligned} \quad (9)$$

Now (8) and (9) give Theorem 1 in this case.

Case 2. $0 < a \leq 1$. We need the following Markov-type inequality,

$$\sup_{|x| < \infty} |f'(x) \exp(-|x|^b)| \leq c_8(b) H_n(b) \sup_{|x| < \infty} |f(x) \exp(-|x|^b)|$$

$$(f \in \Pi_{2n}, n \geq 2, b > 0), \quad (10)$$

where

$$H_n(b) = \begin{cases} n^{1-1/b} & \text{if } 1 \leq b < \infty \\ \log n & \text{if } b = 1 \\ 1 & \text{if } 0 < b < 1. \end{cases} \quad (11)$$

(See G. Freud [4] ($2 \leq b < \infty$), A. L. Levin and D. S. Lubinsky [5] ($1 < b < 2$), and P. Nevai and V. Totik [11] ($0 < b \leq 1$)). Now let $g \in \Pi_n$ be arbitrary and $f(x) = g(x^2) \in \Pi_{2n}$. Using (10) and the substitutions $z = x^2$ and $a = b/2$, we get

$$\begin{aligned} |g'(0)| &= \frac{1}{2} |f''(0)| \\ &\leq c_9(b) (H_n(b))^2 \sup_{|x| < \infty} |f(x) \exp(-|x|^b)| \\ &\leq c_{10}(a) K_n(a) \sup_{0 \leq z < \infty} |g(z) \exp(-z^{a/2})| \\ &\leq c_{10}(a) K_n(a) \|g\|_a \quad (0 < a < \infty). \end{aligned} \quad (12)$$

Let $p \in \Pi_n$ and $y \in [0, \infty)$ be arbitrary. Consider the polynomial $g(x) := p(x+y) \in \Pi_n$. Applying (12) to g and using that $x^a + y^a \geq (x+y)^a$ ($x, y \geq 0, 0 \leq a \leq 1$), we obtain

$$\begin{aligned} |p'(y)| &= |g'(0)| \\ &\leq c_{10}(a) K_n(a) \|g\|_a \\ &\leq c_{10}(a) K_n(a) \exp(y^a) \sup_{x \geq 0} |p(x+y) \exp(-(x+y)^a)| \\ &\leq c_{10}(a) K_n(a) \exp(y^a) \|p\|_a, \end{aligned} \quad (13)$$

which yields Theorem 1 in this case as well. ■

Note 1. In case $a = 1$ Theorem 2 was proved by G. Szegő [12], but his method does not work in the general case.

Before proving Theorem 2 we establish a Bernstein-type estimate on $[0, \infty)$ with respect to the norm $\|p\|_a$.

LEMMA 1. Let $m \geq 1, a > 0, y > 0$. Then

$$|p^{(m)}(y) \exp(-y^a)| \leq c_{11}(a, m)(H_n(2a))^m y^{-m/2} \|p\|_a \quad (p \in \Pi_n),$$

where $H_n(b)$ is defined by (11) for $b > 0$.

Proof. From (10), by induction on m it is straightforward that

$$\begin{aligned} & \sup_{|x| < \infty} |f^{(m)}(x) \exp(-|x|^b)| \\ & \leq c_{12}(b, m)(H_n(b))^m \sup_{|x| < \infty} |f(x) \exp(-|x|^b)| \quad (f \in \Pi_{2n}, 0 < b < \infty). \end{aligned} \tag{14}$$

We prove the lemma by induction on m . The statement holds for $m = 0$. Now suppose that it holds for all $0 \leq \mu \leq m - 1$. Let $p \in \Pi_n$ be arbitrary and let $f(x) := p(x^2) \in \Pi_{2n}$. It is easy to check that with suitable constants $c_{\mu, \nu, m}$ depending only on μ, ν , and m we have

$$f^{(m)}(x) = 2^m x^m p^{(m)}(x^2) + \sum_{\substack{0 \leq \nu \leq \mu \leq m-1 \\ 2\mu - \nu \leq m}} c_{\mu, \nu, m} x^\nu p^{(\mu)}(x^2); \tag{15}$$

thus with the substitution $y = x^2$ and $b = 2a$ we have

$$\begin{aligned} f^{(m)}(x) \exp(-|x|^b) &= 2^m y^{m/2} p^{(m)}(y) \exp(-y^a) \\ &+ \sum_{\substack{0 \leq \nu \leq \mu \leq m-1 \\ 2\mu - \nu \leq m}} c_{\mu, \nu, m} y^{\nu/2} p^{(\mu)}(y) \exp(-y^a). \end{aligned} \tag{16}$$

Here by the induction assumption

$$\begin{aligned} & |y^{\nu/2} p^{(\mu)}(y) \exp(-y^a)| \\ &= |y^{\mu/2} p^{(\mu)} \exp(-y^b)| y^{(\nu - \mu)/2} \\ &\leq c_{11}(a, \mu)(H_n(2a))^\mu \|p\|_a (H_n(2a))^{\mu - \nu} \\ &\leq c_{11}(a, \mu)(H_n(2a))^m \|p\|_a \\ &(0 \leq \nu \leq \mu \leq m - 1, 2\mu - \nu \leq m, y \geq (H_n(2a))^{-2}). \end{aligned} \tag{17}$$

Using the substitutions $y = x^2, b = 2a$, and recalling that $f(x) = p(x^2) \in \Pi_{2n}$, from (14) we get

$$\begin{aligned} & |f^{(m)}(x) \exp(-|x|^b)| \\ & \leq c_{12}(b, m)(H_n(2a))^m \|p\|_a \quad (y \geq 0). \end{aligned} \tag{18}$$

Now (16), (17), and (18) give the desired result when $y \geq (H_n(2a))^{-2}$. If $0 < y < (H_n(2a))^{-2}$, then by Theorem 1

$$\begin{aligned} |p^{(m)}(y) \exp(-y^a)| &\leq c_2(a, m)(K_n(a))^m \|p\|_a \\ &\leq c_2(a, m)(H_n(2a))^m y^{-m;2} \|p\|_a. \end{aligned} \tag{19}$$

Thus the proof of the lemma is complete. ■

Proof of Theorem 2. We distinguish three cases.

Case 1. $a \geq 1$. We shall use the notations introduced in the proof of Theorem 1. Observe that $q_{n,y}$ ($0 \leq y \leq \frac{1}{2}n^{1/a}$) has all its zeros outside the circle $\{z \in \mathbb{C} \mid |z| < n^{1/a}\}$. Hence by an observation of G. G. Lorentz $q_{n,y}$ is of the form

$$q_{n,y}(x) = \sum_{j=0}^n a_j (x - n^{1/a})^j (n^{1/a} - x)^{n-j} \quad \text{with all } a_j \geq 0,$$

so from Theorem B of [6], by a linear transformation we get

$$\begin{aligned} |q_{n,y}^{(j)}(y)| &\leq c_{13}(a, j)(n^{1-1/a})^j \\ &\quad \times \max_{y \leq x \leq y + (1/2)n^{1/a}} |q_{n,y}(x)| \\ &= c_{13}(a, j)(n^{1-1/a})^j \\ &\quad \times \exp(-y^a) \quad (0 \leq y \leq \frac{1}{2}n^{1/a}, j \geq 0). \end{aligned} \tag{20}$$

To prove Theorem 2 we proceed by induction on m . In case of $m = 0$ the statement is obvious. Suppose that the theorem holds for $0 \leq j \leq m - 1$. Let $0 \leq y \leq r$, $n^{1/a-2} \leq r \leq \frac{1}{4}n^{1/a}$, and $p \in W_n^k(r)$. Then $s := pq_{n,y} \in S_{(2[a]+1)m}^k(y, y + \frac{1}{2}n^{1/a}, r/2)$, so using Theorem A and (5) we have

$$\begin{aligned} |s^{(m)}(y)| &\leq c_{14}(a, m) \left(\frac{n^{1-1/(2a)}(k+1)^2}{\sqrt{r}} \right)^m \\ &\quad \times \max_{y \leq x \leq y + (1/2)n^{1/a}} |p(x) q_{n,y}(x)| \\ &\leq c_{14}(a, m)((k+1)^2 L_n(a, r))^m \\ &\quad \times \max_{y \leq x \leq y + (1/2)n^{1/a}} |p(x) \exp(-x^a)| \\ &\leq c_{14}(a, m)((k+1)^2 L_n(a, r))^m \|p\|_a \\ &\quad (0 \leq y \leq r, n^{1/a-2} \leq r \leq \frac{1}{4}n^{1/a}). \end{aligned} \tag{21}$$

Now by (5), (20), (21), and the induction assumption we deduce

$$\begin{aligned}
 |p^{(m)}(y) \exp(-y^a)| &= |p^{(m)}(y) q_{n,y}(y)| \\
 &\leq |(pq_{n,y})^{(m)}(y)| \\
 &\quad + \sum_{j=1}^m \binom{m}{j} |p^{(m-j)}(y) q_{n,y}^{(j)}(y)| \\
 &\leq c_{14}(a, m) ((k+1)^2 L_n(a, r))^m \|p\|_a \\
 &\quad + \sum_{j=1}^m \binom{m}{j} \exp(y^a) c_3(a, m-j) \\
 &\quad \times ((k+1)^2 L_n(a, r))^{m-j} \|p\|_a \\
 &\quad \times c_{13}(a, j) (n^{1-1/a})^j \exp(-y^a) \\
 &\leq c_{15}(a, m) ((k+1)^2 L_n(a, r))^m \|p\|_a \\
 &\quad (p \in W_n^k(r), 0 \leq y \leq r, n^{1/a-2} \leq r \leq \frac{1}{4} n^{1/a}). \quad (22)
 \end{aligned}$$

Further by Lemma 1

$$\begin{aligned}
 |p^{(m)}(y) \exp(-y^a)| \\
 &\leq c_{16}(a, m) (H_n(2a))^m r^{-m/2} \|p\|_a \\
 &= c_{16}(a, m) (L_n(a, m))^m \|p\|_a \quad (p \in \Pi_n, r \leq y < \infty). \quad (23)
 \end{aligned}$$

Now (22) and (23) give the theorem when $n^{1/a-2} \leq r \leq \frac{1}{4} n^{1/a}$. If $0 \leq r \leq n^{1/a-2}$, then Theorem 1 gives the desired result. If $\frac{1}{4} n^{1/a} \leq r < \infty$, then using the relation $W_n^k(r) \subset W_n^k(\frac{1}{4} n^{1/a})$ and the just proved part of the theorem, we get the statement for all $r \geq \frac{1}{4} n^{1/a}$.

Case 2. $\frac{1}{2} \leq a \leq 1$. We need a number of lemmas.

LEMMA 2. For all $n \geq 2$ and $\frac{1}{2} \leq a < \infty$ there exist polynomials $Q_{n,a} \in \Pi_N$ such that

$$c_{17}(a) \leq Q_{n,a}(y) \exp(y^a) \leq c_{18}(a) \quad (0 \leq y \leq n^{1/a}) \quad (24)$$

and

$$1 \leq N = N(n) := \begin{cases} [c_{19}(a)n] & \text{if } \frac{1}{2} < a < \infty \\ [(c_{19}(a)n \log n)] & \text{if } a = \frac{1}{2} \end{cases} \quad (25)$$

hold with suitable $c_{17}(a)$, $c_{18}(a)$, and $c_{19}(a)$.

By using the substitutions $y = x^2$ and $b = 2a$, this is a trivial consequence of the corresponding result for the interval $(-\infty, \infty)$ and weight function $\exp(-|x|^b)$ ($1 \leq b < \infty$); see Theorem 1.1 of [5] when $1 < b < \infty$, and the proof of Theorem 3 of [11] when $b = 1$.

LEMMA 3. *If $\frac{1}{2} \leq a < \infty$, $r > 0$, $0 \neq v \in \Pi_r$ and*

$$|v(0)| \geq c_{20}(a) \max_{0 \leq x \leq n^{1/a}} |v(x)| \tag{26}$$

then v has at most $c_{21}(a) \ln^{-1/(2a)} \sqrt{r}$ roots (counting multiplicities) in $[0, r]$.

Using Lemma 1 of [2] and the substitution $x = \frac{1}{2} n^{1/a} (1 + \cos t)$, we obtain Lemma 3 at once.

LEMMA 4. *If $\frac{1}{2} \leq a < \infty$, $n, j \geq 0$, $r > 0$, $p \in \Pi_n$ has all its zeros in $[2r, \infty)$ and $|p(0)| = \|p\|_a$, then*

$$|p^{(j)}(0)| \leq c_{22}(a, j) \left(\frac{M}{\sqrt{r}} \right)^j \|p\|_a,$$

where $M = Nn^{-1/(2a)}$ and N is defined by (25).

Proof. Let $\deg p = l \leq n$ and denote the roots of p by $(2r \leq) x_1 \leq x_2 \leq \dots \leq x_l (< \infty)$. Observe that $v := pQ_{n,a} \in \Pi_{n+N}$ satisfies (26) where $Q_{n,a}$ and N are defined by Lemma 2. With the notation

$$I_v = [2rv^4, 2r(v+1)^4] \quad (v = 1, 2, \dots)$$

from Lemma 3 we deduce that v and hence p as well have at most $c_{21}(a)(n+N)n^{-1/(2a)} \sqrt{2r} (v+1)^2$ roots (counting multiplicities) in I_v . Hence and from (25)

$$\begin{aligned} \frac{|p^{(j)}(0)|}{\|p\|_a} &= \frac{|p^{(j)}(0)|}{|p(0)|} \leq \left(\sum_{\mu=1}^l \frac{1}{x_\mu} \right)^j \leq \left(\sum_{v=1}^{\infty} \sum_{x_\mu \in I_v} \frac{1}{x_\mu} \right)^j \\ &\leq \left(\sum_{v=1}^{\infty} c_{21}(a)(n+N)n^{-1/(2a)} \sqrt{r} (v+1)^2 \frac{1}{2rv^4} \right)^j \\ &\leq \left(\left(2\sqrt{2} c_{21}(a) \sum_{v=1}^{\infty} \frac{1}{v^2} \right) \frac{(n+N)n^{-1/(2a)}}{\sqrt{r}} \right)^j \\ &\leq c_{22}(a, j) \left(\frac{M}{\sqrt{r}} \right)^j. \quad \blacksquare \end{aligned}$$

LEMMA 5. If $\frac{1}{2} \leq a < \infty$, $n \geq 1$, $M^{-2} \leq r \leq M^2$ (M is defined in Lemma 4), $p \in \Pi_n$ has all its zeros in $[2r, \infty)$, and $|p(0)| = \|p\|_a$, then

$$|p(0)| \leq 2 |p(x)| \quad \left(x \in \left[0, \frac{\sqrt{r}}{c_{23}(a)M} \right] \subset [0, 1] \right)$$

with a suitable $c_{23}(a)$.

Proof. Let $c_{23}(a) := \max\{2c_{22}(a, 1), 1\}$ and

$$y := \frac{\sqrt{r}}{c_{23}(a)M}. \quad (27)$$

Since $M^{-2} \leq r \leq M^2$, we have

$$0 < y \leq \min\{r, 1\}. \quad (28)$$

As $|p'(x)|$ is monotonically decreasing in $(-\infty, 2r]$, Lemma 4 implies

$$|p'(\xi)| \leq |p'(0)| \leq \frac{c_{22}(a, 1)M}{\sqrt{r}} \|p\|_a \quad (0 \leq \xi \leq 2r). \quad (29)$$

From the mean value theorem, (27), and (28) we deduce that there exists a $\xi_1 \in (0, y)$ such that

$$\begin{aligned} |p(0) - p(y)| &= y |p'(\xi_1)| \\ &\leq \frac{\sqrt{r}}{c_{23}(a)M} \frac{c_{22}(a, 1)M}{\sqrt{r}} \|p\|_a \\ &\leq \frac{1}{2} \|p\|_a = \frac{1}{2} |p(0)|; \end{aligned} \quad (30)$$

hence

$$2 |p(y)| \geq |p(0)|. \quad (31)$$

As $|p(x)|$ is monotonically decreasing in $(-\infty, 2r]$, (27) and (31) give the desired result. ■

LEMMA 6. Let $\frac{1}{2} \leq a < \infty$, $n, m \geq 1$, $M^{-2} \leq n \leq M^2$ (M is defined in Lemma 4), $s = pq$ where $p \in \Pi_n$ has all its zeros in $[2r, \infty)$, $|p(0)| = \|p\|_a$, and $q \in \Pi_l$. Then

$$|s^{(m)}(0)| \leq c_{24}(a, m) \left(\frac{M(l+1)^2}{\sqrt{r}} \right)^m \|s\|_a. \quad (32)$$

Proof. For the sake of brevity let

$$I = I(n, a, r) := \left[0, \frac{\sqrt{r}}{c_{23}(a)M} \right] \subset [0, 1]. \tag{33}$$

Applying Markov's inequality to $q \in \Pi_l$ on I , we get

$$|q^{(m-j)}(0)| \leq \left(\frac{2c_{23}(a)M}{\sqrt{r}} l^2 \right)^{m-j} |q(x_1)| \quad (0 \leq j \leq m), \tag{34}$$

where

$$x_1 \in I \text{ is such that } |q(x_1)| = \max_{x \in I} |q(x)|. \tag{35}$$

Therefore by Lemmas 4, 5, (34), and (35) we easily obtain

$$\begin{aligned} |s^{(m)}(0)| &\leq \sum_{j=0}^m \binom{m}{j} |p^{(j)}(0) q^{(m-j)}(0)| \\ &\leq \sum_{j=0}^m \binom{m}{j} c_{22}(a, j) \left(\frac{M}{\sqrt{r}} \right)^j \|p\|_a \left(\frac{2c_{23}(a)Ml^2}{\sqrt{r}} \right)^{m-j} |q(x_1)| \\ &\leq c_{25}(a, m) \left(\frac{M(l+1)^2}{\sqrt{r}} \right)^m |p(x_1) q(x_1)| \\ &\leq ec_{25}(a, m) \left(\frac{M(l+1)^2}{\sqrt{r}} \right)^m \|s\|_a. \quad \blacksquare \end{aligned}$$

LEMMA 7. Let $\frac{1}{2} \leq a < \infty$, $n, m \geq 1$, $M^{-2} \leq r \leq M^2$ (M is defined in Lemma 4), $s = pq$ where $p \in \Pi_n$ has all its zeros in $[2r, \infty)$, and $q \in \Pi_l$ has all its zeros in $\{z \in \mathbb{C} \mid 0 \leq \text{Re } z \leq 2r\}$. Then inequality (32) holds.

Proof. Because of the conditions prescribed for the roots of p and q ,

$$|s(x)| \text{ is monotonically decreasing in } (-\infty, 0]. \tag{36}$$

Thus there exists exactly one $y \in (-\infty, 0]$ such that

$$|s(y)| = \|s\|_a. \tag{37}$$

Now let

$$\tilde{s}(x) := s(x + y). \tag{38}$$

Then

$$\tilde{s} = \tilde{p}\tilde{q}, \tag{39}$$

where $\tilde{p}(x) = p(x + y) \in \Pi_n$ and $\tilde{q}(x) = q(x + y) \in \Pi_l$ have all their zeros in $[2r - y, \infty)$ and $\{z \in \mathbb{C} \mid -y \leq \operatorname{Re} z \leq 2r - y\}$, respectively. From (36), (37), and (38) we easily deduce

$$|\tilde{s}(0)| = |s(y)| = \|s\|_a = \|\tilde{s}\|_a. \tag{40}$$

From (39) it is clear that

$$|\tilde{p}(0)| \geq |\tilde{p}(x)| \geq |\tilde{p}(x) \exp(-x^a)| \quad (0 \leq x \leq 4r - 2y) \tag{41}$$

and

$$|\tilde{q}(0)| \leq |\tilde{q}(x)| \quad (4r - 2y \leq x < \infty). \tag{42}$$

By (39), (40), and (42) it is obvious that

$$\begin{aligned} |\tilde{p}(0)| &= \frac{|\tilde{s}(0)|}{|\tilde{q}(0)|} \geq \frac{|\tilde{s}(x) \exp(-x^a)|}{|\tilde{q}(x)|} \\ &= |\tilde{p}(x) \exp(-x^a)| \quad (4r - 2y \leq x < \infty). \end{aligned} \tag{43}$$

Now (41) and (43) yield

$$|\tilde{p}(0)| = \|\tilde{p}\|_a. \tag{44}$$

Because of (39), $y \leq 0$, and (44), Lemma 6 can be applied to $\tilde{s} = \tilde{p}\tilde{q}$; thus also using (38) and (40) we obtain

$$\begin{aligned} |s^{(m)}(y)| = |\tilde{s}^{(m)}(0)| &\leq c_{24}(a, m) \left(\frac{M(l+1)^2}{\sqrt{r}}\right)^m \|\tilde{s}\|_a \\ &= c_{24}(a, m) \left(\frac{M(l+1)^2}{\sqrt{r}}\right)^m \|s\|_a \quad (M^{-2} \leq r \leq M^2). \end{aligned} \tag{45}$$

By Gauss' Theorem $s^{(m)}(x)$ has all its zeros in $\{z \in \mathbb{C} \mid \operatorname{Re} z \geq 0\}$; hence $y \leq 0$ yields

$$|s^{(m)}(0)| \leq |s^{(m)}(y)| \tag{46}$$

which together with (45) gives the lemma. ■

Now let

$$\|p\|_{a,\delta} := \sup_{\delta \leq x < \infty} |p(x) \exp(-x^a)| \quad (p \in \Pi_n, a, \delta > 0). \tag{47}$$

We need the following

LEMMA 8. (a) For all $0 \leq k \leq n$, $m \geq 1$, $r, a, \delta > 0$ there exists a $0 \neq s^* = s_{n,k,m,r,\delta}^* \in W_n^k(r)$ such that

$$\frac{|s^{*(m)}(0)|}{\|s^*\|_{a,\delta}} = \sup_{s \in W_n^k(r)} \frac{|s^{(m)}(0)|}{\|s\|_{a,\delta}}. \quad (48)$$

(b) s^* has at most m roots (counting multiplicities) in

$$D_4(r) := \{z \in \mathbb{C} \setminus \mathbb{R} \mid |z - r| > r\}.$$

The proof is rather similar to that of Lemma 5 of [2], so we omit it.

Now let $\tilde{\delta} = 1/4n^2$. Then using Markov's inequality (1) on $[0, 1]$ (with $m = 1$) and the mean value theorem, we easily obtain

$$\|p\|_a \leq 2e \|p\|_{a,\tilde{\delta}} \quad (p \in \Pi_n). \quad (49)$$

From now on let $s^* := s_{n,k,m,r,\tilde{\delta}}^*$. Then in the same way as in [2] (see (20)–(37) there), from Lemmas 7 and 8 and (49) we can deduce that

$$\begin{aligned} |s^{*(m)}(0)| &\leq c_{25}(a, m)((k+1)^2 L_n(a, r))^m \\ &\quad \times \|s^*\|_{a,\tilde{\delta}} \quad (M^{-2} \leq r \leq M^2) \end{aligned} \quad (50)$$

whence because of the maximality of s^* we get

$$\begin{aligned} |s^{(m)}(0)| &\leq c_{25}(a, m)((k+1)^2 L_n(a, r))^m \|s\|_{a,\tilde{\delta}} \\ &\leq c_{25}(a, m)((k+1)^2 L_n(a, r))^m \|s\|_a \\ &\quad (s \in W_n^k(r), M^{-2} \leq r \leq M^2). \end{aligned} \quad (51)$$

Now observe that $p \in W_n^k(r)$, $y \in [0, r]$ imply $s(x) := p(x+y) \in W_n^k(r/2)$; thus, applying (51) to s and using that $x^a + y^a \geq (x+y)^a$ ($x, y \geq 0$, $0 < a \leq 1$) we obtain

$$\begin{aligned} |p^{(m)}(y)| &= |s^{(m)}(0)| \\ &\leq c_{26}(a, m)((k+1)^2 L_n(a, r))^m \|s\|_a \\ &\leq c_{26}(a, m)((k+1)^2 L_n(a, r))^m \exp(y^a) \\ &\quad \times \sup_{x \geq 0} |p(x+y) \exp(-(x+y)^a)| \\ &\leq c_{26}(a, m)((k+1)^2 L_n(a, r))^m \exp(y^a) \|p\|_a \\ &\quad (p \in W_n^k(r), 0 \leq y \leq r, M^{-2} \leq r \leq M^2). \end{aligned} \quad (52)$$

This together with Lemma 1 yields the theorem, when $M^{-2} \leq r \leq M^2$. If $0 < r \leq M^{-2}$, then Theorem 1 gives the desired result. If $M^2 < r < \infty$, then the relation $W_n^k(r) \subset W_n^k(M^2)$ and the just proved part of the theorem yield the statement.

Case 3. $0 < a < \frac{1}{2}$. Now Theorem 1 implies Theorem 2. ■

Proof of Theorem 3. We shall use the following infinite-finite range inequality,

$$\|f\|_a \leq c_{27}(a) \max_{0 \leq y \leq c_{28}(a)n^{1/a}} |f(y) \exp(-y^a)| \quad (f \in \Pi_{2n}, 0 < a < \infty), \quad (53)$$

with suitable $c_{27}(a), c_{28}(a) \geq 1$. By using the substitutions $y = x^2$ and $b = 2a$ this is an obvious consequence of the analogous result for the interval $(-\infty, \infty)$ and weight function $\exp(-|x|^b)$ ($b > 0$); see [7, Theorem A] or [10, Lemma 6.3]. To prove the sharpness of Theorem 2 when $k = 0, 1 \leq m \leq n$, and $0 < a \neq \frac{1}{2}$, we distinguish three cases.

Case 1. $0 < r \leq (\pi/4m) c_{28}(a)n^{1/a}$ if $1 \leq a < \infty$, or $0 < r \leq (\pi/4m)n^{2-1/a}$ if $\frac{1}{2} < a$. Let

$$x_j = \left(\frac{c_{28}(a)}{2} n^{1/a} - \frac{4m}{\pi} r \right) \cos \frac{(2n-2j+1)\pi}{2n} + \frac{c_{28}(a)}{2} n^{1/a} \quad (1 \leq j \leq n), \quad (54)$$

$$z_j = x_j + ir \quad (1 \leq j \leq n), \quad (55)$$

and

$$s(x) = s_{n,m,r,a}(x) = \sum_{j=1}^n (x - z_j)(x - \bar{z}_j) \in W_n^0(r). \quad (56)$$

By Lemma 3 of [1] and (53) we easily deduce that

$$\begin{aligned} |s(0)| &= \max_{0 \leq x \leq c_{28}(a)n^{1/a}} |s(x)| \\ &\geq \max_{0 \leq x \leq c_{28}(a)n^{1/a}} |s(x) \exp(-x^a)| \\ &\geq \frac{1}{c_{27}(a)} \|s\|_a. \end{aligned} \quad (57)$$

So using the notation $q(x) = \sum_{j=1}^n (x - x_j)$, (54)–(57), and the assumption of this case, by a simple calculation we get

$$\begin{aligned} \frac{|s^{(m)}(0)|}{\|s\|_a} &\geq \frac{1}{c_{27}(a)} \frac{|s^{(m)}(0)|}{|s(0)|} \\ &\geq \frac{2}{c_{27}(a)} \left(1 + \frac{\pi}{4m}\right)^{-m} \frac{1}{\sqrt{2}} \frac{|q^{(m)}(0)|}{|q(0)|} \\ &\geq \frac{\sqrt{2}}{ec_{27}(a)} \left(\sum_{j=m}^n \frac{1}{1-x_j}\right)^m \\ &\geq c_{29}(a, m)(L_n(a, r))^m \quad (1 \leq m \leq n). \end{aligned}$$

Case 2. $(\pi/4m)c_{28}(a)n^{1/a} < r < \infty$, $a \geq 1$. Now the polynomials $s_{n,m,r,a} = x^n$ show that Theorem 2 is sharp when $k=0$ and $1 \leq m \leq n$.

Case 3. $(\pi/4m)c_{28}(a)n^{2-1/a} \leq r < \infty$ if $\frac{1}{2} < a < 1$ or $0 < r < \infty$ if $0 < a < \frac{1}{2}$. Now the polynomials $s_{n,m,r,a} = x$ give the desired result. ■

Of course the sharpness of Theorem 2 when $k=0$, $1 \leq m \leq n$, and $0 < a \neq \frac{1}{2}$ implies the sharpness of Theorem 1 as well.

Note 2. Theorem 2 and the examples of Theorem 3 yield that

$$\begin{aligned} c_{29}(a, r)(L_n(a, r))^m \leq \sup \frac{\|s^{(m)}\|_a}{\|s\|_a} \leq c_3(a, m)(L_n(a, r))^m \\ (0 \leq r < \infty, 1 \leq m \leq n, 0 < a \neq \frac{1}{2}) \end{aligned}$$

holds not only in the case when the supremum is taken for all polynomials from $W_n^0(r)$, but for all polynomials from H_n having all their zeros in $\{z \in \mathbb{C} \mid |\operatorname{Im} z| \geq r\}$.

Proof of Theorem 4. We need

LEMMA 9. (a) For each $n \geq 1$, $r \geq 0$, $a, \delta > 0$, and $0 \leq y \leq r$ there exists a polynomial $p^* = p_{n,r,a,\delta,y}^* \in V_n^0(r)$ such that

$$\frac{|p^{*'}(y)|}{\|p^*\|_{a,\delta,y}} = \sup_{p \in V_n^0(r)} \frac{|p'(y)|}{\|p\|_{a,\delta,y}}, \tag{58}$$

where $\|p\|_{a,\delta,y} := \sup_{[0,\infty) \setminus (y-\delta, y+\delta)} |p(x) \exp(-x^a)|$.

(b) p^* has all but at most one root in $[0, r] \cup \{z \in \mathbb{C} \mid \operatorname{Re} z = r\}$, and the remaining (at most one) root is in $(-\infty, 0)$.

The proof of this lemma is rather similar to that of Lemma 5 of [2], so we omit the details.

It is easy to see that for all $a > 0$, $n \geq 1$, and $y \geq 0$ there exists a $0 < \delta = \delta(a, n, y) < 1$ such that

$$\|p\|_a \leq 2 \|p\|_{a, \delta, y} \quad \text{for all } p \in \Pi_n. \tag{59}$$

By Lemma 9 $p^* \in V_n^0(r)$ satisfying (58) with $\delta = \delta$ is of the form

$$p^*(x) = (x - x_0)^\alpha \prod_{v=1}^\beta (x - x_v) \left(\sum_{j=0}^\gamma a_j (x - r)^{2j} \right), \tag{60}$$

where

$$x_0 \in (-\infty, 0), \quad \alpha = 0, \quad \text{or } \alpha = 1, \tag{61}$$

$$x_v \in [0, r] \quad (1 \leq v \leq \beta) \tag{62}$$

$$a_j \geq 0 \quad (0 \leq j \leq \gamma) \tag{63}$$

and

$$\alpha + \beta + 2\gamma \leq n. \tag{64}$$

Let

$$I_1 = \{j \in \mathbb{N} \mid 0 \leq j \leq \gamma, \beta + 2j < 2(4r + 1)^a\}, \tag{65}$$

$$I_2 = \{j \in \mathbb{N} \mid 0 \leq j \leq \gamma, \beta + 2j \geq (4r + 1)^a\}, \tag{66}$$

and

$$p_j(x) := (x - x_0)^\alpha \prod_{v=1}^\beta (x - x_v) a_j (x - r)^{2j} \quad (0 \leq j \leq \gamma). \tag{67}$$

By (60), (65), (66), and (67) we have

$$p^* = f_1 + f_2, \tag{68}$$

where

$$f_1 := \sum_{j \in I_1} p_j \quad \text{and} \quad f_2 := \sum_{j \in I_2} p_j. \tag{69}$$

By (67), (61), (62), and (66) for $j \in I_2$ and $0 \leq y \leq r$ we obtain

$$\begin{aligned} & \frac{|p'_j(y) \exp(-y^a)|}{|p_j(4r + 1) \exp(-(4r + 1)^a)|} \\ & \leq (\alpha + \beta + 2j) 3^{1 - \beta - 2j} \exp((4r + 1)^a) \\ & \leq 3(1 + \beta + 2j) 3^{-(\beta + 2j)/2} \exp((4r + 1)^a - (\beta + 2j)/2) \leq c_{30}. \end{aligned} \tag{70}$$

Thus from (67), (69), (70), (68), (63), (59), and $0 < \delta < 1$

$$\begin{aligned} |f'_2(y) \exp(-y^a)| &\leq c_{30} |f_2(4r+1) \exp(-(4r+1)^a)| \\ &\leq c_{30} |p^*(4r+1) \exp(-(4r+1)^a)| \\ &\leq c_{30} \|p^*\|_{a,\delta,y} \quad (0 \leq y \leq r). \end{aligned} \quad (71)$$

By (69), (65), and $0 \leq \alpha \leq 1$, f_1 is a polynomial of degree at most $l := \min\{[2(4r+1)^a + 1], n\}$, so using Theorem 1, (63), (68), and (59) we obtain

$$\begin{aligned} |f'_1(y) \exp(-y^a)| &\leq c_2(a, 1) K_l(a, r) \|f_1\|_a \\ &\leq c_{31}(a) G_n(a, r) \|f_1\|_a \\ &\leq c_{31}(a) G_n(a, r) \|p^*\|_a \\ &\leq 2c_{31}(a) G_n(a, r) \|p^*\|_{a,\delta,y} \quad (0 < a < \infty, 0 \leq y < \infty). \end{aligned} \quad (72)$$

From (68), (71), and (72) we get

$$\begin{aligned} |p^{*'}(y) \exp(-y^a)| \\ \leq c_{32}(a) G_n(a, r) \|p^*\|_{a,\delta,y} \quad (0 < a < \infty, 0 \leq y \leq r); \end{aligned}$$

hence the maximality of p^* yields

$$\begin{aligned} |p'(y) \exp(-y^a)| &\leq c_{32}(a) G_n(a, r) \|p\|_{a,\delta,y} \\ &\leq c_{32}(a) G_n(a, r) \|p\|_a \\ &\quad (p \in V_n^0(r), 0 < a < \infty, 0 \leq y \leq r). \end{aligned} \quad (73)$$

Now let $p \in V_n^0(r)$ and $z \in [0, \infty)$ be arbitrary. Applying (73) with $y=0$ to $\tilde{p}(x) := p(x+z) \in V_n^0(r)$ and using the inequality $(x+z)^a \leq x^a + z^a$ ($x, z \geq 0$, $0 < a \leq 1$) we obtain

$$\begin{aligned} |p'(z) \exp(-z^a)| &= |\tilde{p}'(0) \exp(-z^a)| \\ &\leq c_{32}(a) G_n(a, r) \|\tilde{p}\|_a \exp(-z^a) \\ &\leq c_{32}(a) G_n(a, r) \\ &\quad \times \sup_{0 \leq x < \infty} |p(x+z) \exp(-(x+z)^a)| \\ &\leq c_{32}(a) G_n(a, r) \|p\|_a \\ &\quad (p \in V_n^0(r), 0 \leq z < \infty, 0 < a \leq 1). \end{aligned} \quad (74)$$

If $p \in V_n^0(r)$ and $r \leq y \leq \frac{1}{2} n^{1/a}$, then (cf. (5)) $s := pq_{n,y} \in \Pi_{(2[a]+1)n}$ has all its zeros outside the circle with diameter $[y, n^{1/a}]$; thus s is of the form

$$s(x) = \sum_{v=0}^d b_v(x-y)^v (n^{1/a} - x)^{d-v}$$

with $b_v \geq 0$ ($1 \leq v \leq d$) and $d = (2[a] + 1)n$; thus a theorem of G. G. Lorentz (see Theorem A of [6]) and (5) yield

$$\begin{aligned} |s'(y)| &\leq \frac{c_{33}(a)n}{n^{1/a} - y} \max_{y \leq x \leq n^{1/a}} |s(x)| \\ &\leq c_{34}(a)n^{1-1/a} \max_{y \leq x \leq n^{1/a}} |p(x) \exp(-x^a)| \\ &\leq c_{34}(a)n^{1-1/a} \|p\|_a \quad (1 \leq a < \infty, r \leq y \leq \frac{1}{2}n^{1/a}). \end{aligned} \tag{75}$$

Hence and from (7)

$$\begin{aligned} |p'(y) \exp(-y^a)| &\leq |s'(y)| + |p(y) q'_{n,y}(y)| \\ &\leq c_{35}(a)n^{1-1/a} \|p\|_a \\ &\quad (p \in V_n^0(r), 1 \leq a < \infty, r \leq y \leq \frac{1}{2}n^{1/a}). \end{aligned} \tag{76}$$

By Lemma 1 we have

$$\begin{aligned} |p'(y) \exp(-y^a)| &\leq c_{36}(a) \frac{n^{1-1/(2a)}}{\sqrt{y}} \|p\|_a \\ &\leq c_{37}(a)n^{1-1/a} \|p\|_a \\ &\quad (p \in \Pi_n, \frac{1}{2} < a < \infty, \frac{1}{2}n^{1/a} \leq y < \infty). \end{aligned} \tag{77}$$

Finally we have

$$\|p'\|_a \leq c_{38}(a) \|p\|_a \quad (p \in \Pi_n, 0 < a < \frac{1}{2}) \tag{78}$$

(see Theorem 2 of [11] and Theorem 1). Now (73), (74), (76), (77), and (78) yield the theorem when $m = 1$. From this, using Gauss' theorem, by induction on m we immediately obtain the desired result for all $m \geq 1$. ■

Proof of Theorem 5. Let $T_k(x) = \cos(k \arccos x)$ be the Chebyshev polynomial of degree k and let

$$R := \min\{r, n^{1/a}\}, \quad a > \frac{1}{2}, \tag{79}$$

$$p_k(x) := T_k\left(\frac{2x}{R} - 1\right) \in V_n^0(r), \tag{80}$$

where

$$k := \left\lceil \frac{R^a}{c_{28}(a)^a} \right\rceil \leq n \tag{81}$$

with $c_{28}(a) \geq 1$ defined by (53). Then using (53), (79), (80), and (81), by a simple calculation we obtain

$$\begin{aligned}
\|P_k^{(m)}\|_a &\geq |P_k^{(m)}(0)| \\
&\geq c_{38}(m) \left(\frac{2k^2}{R}\right)^m \max_{0 \leq x \leq R} |p_k(x)| \\
&\geq c_{39}(a, m)(k^{2-1/a})^m \max_{0 \leq x \leq c_{28(a)}k^{1/a}} |p_k(x)| \\
&\geq c_{40}(a, m)(1 + \min\{r^{2a-1}, n^{2-1/a}\})^m \|p_k\|_a \\
&\quad (\tfrac{1}{2} < a < \infty, k \geq m + 1). \tag{82}
\end{aligned}$$

Further, for the polynomials $P_n(x) := x^n \in V_n^0(0) \subset V_n^0(r)$ ($r \geq 0$) we have

$$\|P_n^{(m)}\|_a \geq \begin{cases} c_{41}(a, m)(n^{1-1/a})^m \|P_n\|_a & (1 \leq a < \infty, n \geq m + 1) \\ c_{42}(a, m) \|P_n\|_a & (0 < a < \infty, n = m + 1). \end{cases} \tag{83}$$

Now (82) and (83) give the desired result. ■

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